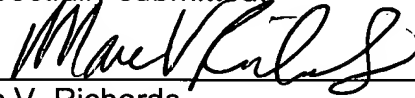


Fourth Amendment as filed are enclosed. Applicants request that either the Notice be withdrawn, or this re-submission of the Fourth Amendment be deemed a satisfactory response to the Notice.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marc V. Richards", written over a horizontal line.

Marc V. Richards  
Registration No. 37,921  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: February 15, 2005 Name: Marc V. Richards, Reg. No. 37,921 Signature: *Marc Richards*

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Forrest N. Krutter et al.

Appln. No.: 09/524,189

Filed: March 13, 2000

For: Method of Reinsuring an Insolvent  
Insurance or Reinsurance Company's  
Liabilities

Examiner: Natalie Pass

Art Unit: 3626

Attorney Docket No: 10106/4

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Response to Notice of Non-Compliant Amendment; copy of Notice of Non-Compliant Amendment; copy of Fourth Amendment filed on January 18, 2005
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

2-15-05

Respectfully submitted,

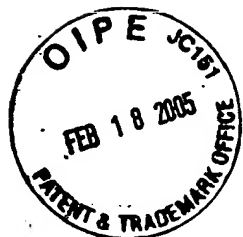
*Marc Richards*

Marc V. Richards (Reg. No. 37,921)

3626  
DFV

"Express Mail" mailing label number EV340930427US

Date of Deposit: January 18, 2005



Our Case No. 10106/4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Forrest N. Krutter et al.	)	Examiner: Natalie Pass
Serial No.: 09/524,189	)	Art Unit: 3626
Filing Date: March 13, 2000	)	
For: METHOD OF REINSURING AN	)	
INSOLVENT INSURANCE OR	)	
REINSURANCE COMPANY'S	)	
LIABILITIES	)	

**FOURTH AMENDMENT UNDER 35 CFR 1.114**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action mailed July 16, 2004, this amendment is being submitted under 37 CFR 1.114 along with a Request for Continued Examination and an appropriate Petition for Extension of Time. Please amend the above-identified application as below and consider the following remarks.

**Amendment to the Claims** begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.